

**KYC REGISTRATION AGENCY**  
**INTERMEDIARY REGISTRATION FORM**



We hereby request you to register us as an Intermediary with NDML KRA pursuant to the SEBI KYC (Know Your Client) Registration Agency Regulations, 2011.

1	Name of the Intermediary																					
2	Registration No. (allotted by Registrar of Companies in case of a Company)																					
3	Date of incorporation (DD/MM/YYYY)																					
4	SEBI Registration Number																					
5	DP ID (In case of a Depository Participant with NSDL)																					
6	PAN of the Intermediary																					
7	Registered Office Address																					
		City / Town												PIN Code								
		State																				
		Country																				
		Telephone No.													Fax No.							
8	Correspondence Address																					
		City / Town												PIN Code								
		State																				
		Country																				
		Telephone No.													Fax No.							
9	<b>Compliance Officer Details</b>																					
	Name																					
	Designation																					
	Email ID																					
	Telephone No.													Fax Number								
	Mobile Number																					

<b>10</b>	<b>Systems Administrator User Details</b>		
	Name		
	Designation		
	Email ID		
	Telephone No.		Fax No
	Mobile Number		
	Login Type	<input type="checkbox"/> DSC Based	<input type="checkbox"/> Password Based
	<b>Digital Signature details ( only if user is DSC based)</b>		
	Name of Certifying Authority		
	Certificate Serial No		

### Declaration

The rules and regulations pertaining to Intermediary as issued by SEBI under KYC (Know Your Client) Registration Agency Regulations, 2011 and its guidelines thereof, which are in force, have been read and understood by us and we agree to abide by and to be bound by the same. We declare that the information given by us is true and correct. We further agree that if any information given by us is false or misleading or any material fact is suppressed, it will render our KRA Intermediary registration liable for termination and appropriate action.

Sr.No	Name of Authorised Signatory	Signature	Stamp of the Intermediary

<b>Place :</b>		<b>Date:</b>	
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#### **Instructions:**

- 1) Submit duly filled and signed form at : NDML KRA, 4<sup>th</sup> Floor, A wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai 400013.
- 2) Enclose the following documents along with the Intermediary Registration Form:
  - a) Copy of SEBI Registration Certificate
  - b) Duly Signed Terms and Conditions
  - c) Duly filled User Creation Form and Screen print of DSC for DSC based users.
- 3) Only Class III DSC issued by NSDL CA, TCS or eMudhra Consumer Services Ltd can be used.