

**KYC REGISTRATION AGENCY
INTERMEDIARY REGISTRATION FORM**



We hereby request you to register us as an Intermediary with NDML KRA pursuant to the SEBI KYC (Know Your Client) Registration Agency Regulations, 2011.

1	Name of the Intermediary											
2	Registration No. (allotted by Registrar of Companies in case of a Company)											
3	Date of incorporation (DD/MM/YYYY)											
4	SEBI Registration Number											
5	DP ID (In case of a Depository Participant with NSDL)											
6	PAN of the Intermediary											
7	GSTN of the Intermediary											
8	TAN of the Intermediary											
9	Defaults / Penal Orders (if any)	Penal Order - <input type="checkbox"/> No > 5-year <input type="checkbox"/> Yes Defaulter - <input type="checkbox"/> No <input type="checkbox"/> Yes If Yes, Please share copy of penal order issued by SEBI / RBI / IRDAI / BSE / NSE if any.										
10	Cyber-Sec & InfoSec Compliance (please confirm whether you have incorporate standard of Cybersec & infoSec or not)	<input type="checkbox"/> No <input type="checkbox"/> Yes										
11	Registered Office Address											
		City / Town				PIN Code						
		State										
		Country										
12	Correspondence Address											
		City / Town				PIN Code						
		State										
		Country										
		Telephone No.				Fax No.						

13	Compliance Officer Details			
	Name			
	Designation			
	Email ID			
	Telephone No.		Fax Number	
	Mobile Number			
14	Systems Administrator User Details			
	Name			
	Designation			
	Email ID			
	Telephone No.		Fax No	
	Mobile Number			
	Login Type	<input type="checkbox"/> Password Based		

Declaration

The rules and regulations pertaining to Intermediary as issued by SEBI under KYC (Know Your Client) Registration Agency Regulations, 2011 and its guidelines thereof, which are in force, have been read and understood by us and we agree to abide by and to be bound by the same. We declare that the information given by us is true and correct. We further agree that if any information given by us is false or misleading or any material fact is suppressed, it will render our KRA Intermediary registration liable for termination and appropriate action.

Sr.No	Name of Authorised Signatory	Signature	Stamp of the Intermediary

Place :		Date:	
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Instructions:

- 1) Submit duly filled and signed form at : NDML KRA, 4th Floor, A wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai 400013
- 2) Enclose the following documents along with the Intermediary Registration Form:
 - a) Copy of SEBI Registration Certificate
 - b) Duly filled and Signed KRA Registration form and Terms & Conditions
 - c) Company PAN
 - d) Company GSTIN
 - e) Authorised Signatory Details with Board Resolution
 - f) Defaults / Penal Orders if Yes, Please share copy of penal order issued by SEBI / RBI / IRDAI BSE / NSE if any.
 - g) Authorization letter (applicable in case of Mutual Fund registration)