

NSDL Database Management Limited

KYC Registration Agency- KRA



NSDL
Technology, Trust & Reach

Important SEBI Circulars

Circular No.	Dated	Subject
MIRSD/SE/Cir-21/2011	October 5, 2011	Uniform KYC requirements for Securities Market
MIRSD/Cir-23/2011	December 2, 2011	KYC Registration Agency Regulations, 2011
MIRSD/Cir- 26 /2011	December 23, 2011	Guidelines in pursuance of the SEBI KYC Registration Agency (KRA) Regulations, 2011 and for In-Person Verification (IPV)
MIRSD/ Cir-5 /2012	April 13, 2012	Uploading of existing clients KYC details in KRA by the Intermediaries
CIR/MIRSD/ 4 /2013	March 28, 2013	Amendment to SEBI {(Know Your Client) Registration Agency} Regulations, 2011 and relevant circulars

Functions & Obligations of KRA

- Verify KYC documents and confirm the same in KRA system
- Maintain KYC information in electronic and physical form
- Disseminate updated KYC information to Intermediaries
- Dispatch KYC confirmation letter to the clients
- Inter-operability with other KRA
- Maintain an audit trail of the upload / modifications / downloads made in the KYC data, by the intermediary in its system
- Ensure data integrity and security
- Redressal of grievances

Functions & Obligations of Intermediary

- Do initial KYC and upload KYC information on KRA
- Forward Original KYC documents to KRA
- Verify/Download KYC data from KRA
- Upload updated KYC information of the client in case of Modification in client details

NDML KRA Statuses

NDML KRA Status	Status Description
KYC Registered	The record is now registered with NDML KRA as per the Uniform Know Your Client (KYC) Requirements for the Securities Markets.
Under Process	NDML KRA accepts KYC record for processing as per the Uniform Know Your Client (KYC) Requirements for the Securities Markets.
KYC Rejected	NDML KRA has rejected the KYC record after verification PAN with other KRAs.

NDML KRA Statuses

cont.....

NDML KRA Status	Status Description
KYC Registered - Incomplete KYC (Existing / OLD Record)	The record is now registered with NDML KRA in accordance with SEBI Circular MIRSD/Cir-5/2012 dated April 13, 2012 (Uploading KYC details of existing customers). This KYC record is incomplete and needs to be updated for missing information by another intermediary when the client approaches another intermediary.
Under Process - Incomplete KYC (Existing / OLD Record)	NDML KRA accepts KYC record for processing in accordance with SEBI Circular MIRSD/Cir-5/2012 dated April 13, 2012 (Uploading KYC details of existing customers). The verification of KYC is under process at KRA.
KYC Rejected - Incomplete KYC (Existing / OLD Record)	NDML KRA has rejected the KYC record after verification PAN with other KRAs.

NDML KRA Interoperability

Login Details

Intermediary user can access the KRA site using internet at <https://kra.ndml.in/>

For the purpose of Login, Intermediary user should select **'MI login'** from the home page.

KYC Registration Agency (KRA)



Your Session has expired, please login again.

[Click here for more details.](#)

[About KRA](#)

[KYC Inquiry](#)

[Downloads](#)



MI Login



KRA Login



Other KRA Login



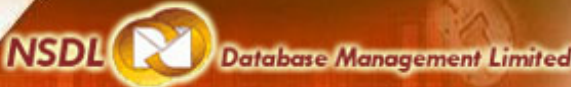
RTA Login

Login Details

Cont...

On selection, login screen will be displayed

Welcome to
Market Intermediary Module



User ID * :

Password * :

MI ID * :

Token PIN :

☐

Remember User ID on this PC

*Login Type

☒ USB

☐ Browser

☐ Password

☐ **Use Virtual Keyboard (Recommended)**

ShuffleOn

(For entering Password and Token PIN only)

#	(_	@	*)	~	+	^	\$	&	%	!
`	3	6	8	7	9	5	2	1	4	0	-	=
q	r	w	t	e	u	i	p	o	y	}		{
f	g	a	d	s	k	j	h	l	\	/]	[
z	c	v	x	n	b	m	"	'	<	>	:	<
CAPS LOCK			CLEAR			BACKSPACE			?	,	.	

Login

Reset

KYC Inquiry

To View status of PAN available in other KRA

- Click on KYC status inquiry
- Screen based

[Home](#) | [Change Password](#) | [Logout](#)

Market Intermediary Module



Welcome: ALPESH Last Login Date: 05-07-2013 MI ID: P0033 MI Name: South Gujarat Shares & Sharebrokers Limited Current Date: 05-07-2013



Market Intermediary

[Online Registration](#)

[File Based Registration](#)

[KYC Status Inquiry](#)

[KYC Download](#)

[Modify Detail](#)

[File Modification](#)

[Auto Download](#)

[Reports](#)

[LCM](#)

[QKRA Images Download](#)

[Screen Based](#)

[Bulk Enquiry](#)

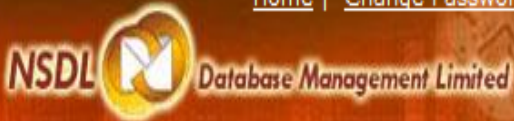
[Download Response](#)



System Security

Enter PAN and click on search

[Home](#) | [Change Password](#) | [Logout](#)

Market Intermediary Module

Welcome: ALPESH Last Login Date: 05-07-2013 MI ID: P0033 MI Name: South Gujarat Shares & Sharebrokers Limited Current Date: 05-07-2013

[Home](#)>KYC Status Inquiry>Inquiry on KYC

JavaScript is disabled in your browser. Kindly enable javascript for proper functionality.

Inquiry on KYC

*PAN :

*Date of Birth / Date of Incorporation :

/ /
dd/mm/yyyy

*Indicates Mandatory Fields.

Note : If the PAN is not available with NDML KRA then system will download data from other KRA. Do not click on any link/button as this process may take some time

Search

Reset

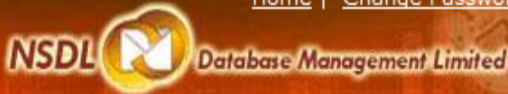
KYC Inquiry

Cont...

Status will display as below

Home | [Change Password](#) | [Logout](#)

Market Intermediary Module



Welcome: ALPESH Last Login Date: 05-07-2013 MI ID: P0033 MI Name: South Gujarat Shares & Sharebrokers Limited Current Date: 05-07-2013

[Home](#)>[KYC Status Inquiry](#)>[Inquiry on KYC](#)

JavaScript is disabled in your browser. Kindly enable javascript for proper functionality.

Inquiry on KYC

*Indicates Mandatory Fields.

*PAN :

*Date of Birth / Date of Incorporation :
dd/mm/yyyy

Note : If the PAN is not available with NDML KRA then system will download data from other KRA. Do not click on any link/button as this process may take some time

Search

Reset

Inquiry on KYC

PAN :	ALNPR8009R
Applicant Name :	JUEE GANESH RANE
Status :	
KYC Registered / Updation date :	18-05-2012 18:12:08
KYC Status :	KYC_REGISTERED_WITH_CVLKRA
Status as of :	05/07/2013 06:13:48 PM
KYC Remark :	--

KYC Download

To Download the KYC details and Images, click on 'Market Intermediary' icon and select the 'Screen Based' menu from 'KYC Download'.

Home | [Change Password](#) | [Logout](#)

NSDL Database Management Limited

Market Intermediary Module

Welcome: ALPESH Last Login Date: 05-07-2013 MI ID: P0033 MI Name: South Gujarat Shares & Sharebrokers Limited Current Date: 05-07-2013



Market Intermediary

Online Registration

File Based Registration

KYC Status Inquiry

KYC Download

Screen Based

Bulk Download

Download Response

Modify Detail

File Modification

Auto Download

Reports

LCM

QKRA Images Download



System Security

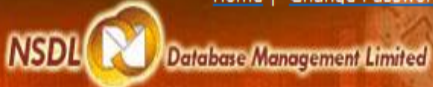
KYC Download

Cont...

The user can view the KYC information by querying on PAN and Date of Birth/date of Incorporation.

[Home](#) | [Change Password](#) | [Logout](#)

Market Intermediary Module



Welcome: ALPESH Last Login Date: 05-07-2013 MI ID: P0033 MI Name: South Gujarat Shares & Sharebrokers Limited Current Date: 05-07-2013

[Home](#)>[KYC download](#)>[Screen based download](#)

KYC Download

*Indicates Mandatory Fields.

*PAN : *Date of Birth / Date of Incorporation : / /
dd/mm/yyyy

In case of download of KYC data of own clients, KYC data download charges are not applicable. In case of download of KYC data of new clients who chooses to trade / invest/ deal through the intermediary:
1. Client will be mapped to the intermediary.
2. KYC data download charges will be applicable.

The download of KYC data will be available only after authorization by other operational user having authorization rights. Operational user with authorization rights can login and access the menu "KYC Download - File based" to authorize reference id.

KYC Download

Cont...

Following Screen will be displayed

[Home](#) | [Change Password](#) | [Logout](#)

Market Intermediary Module
NSDL Database Management Limited

Welcome: ALPESH Last Login Date: 05-07-2013 MI ID: P0033 MI Name: South Gujarat Shares & Sharebrokers Limited Current Date: 05-07-2013

[Home](#)>[KYC download](#)>[Screen based download](#)

KYC Download

*Indicates Mandatory Fields.

*PAN : *Date of Birth / Date of Incorporation : / /
dd/mm/yyyy

In case of download of KYC data of own clients, KYC data download charges are not applicable. In case of download of KYC data of new clients who chooses to trade / invest/ deal through the intermediary:
1. Client will be mapped to the intermediary.
2. KYC data download charges will be applicable.

Note : screen will call other KRAs web services if PAN is not with NDML and will take some time

We are processing your request. Screen will call other KRAs web services if PAN is not with NDML and will take some time.

Kindly wait...

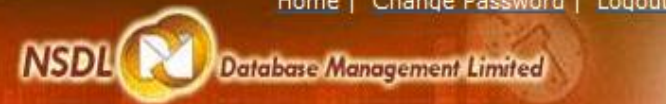
The download of KYC data will be available only after authorization by other operational user having authorization rights. Operational user with authorization rights can login and access the menu "KYC Download - File based" to authorize reference id.

KYC Download

Cont...

The download will be available only after Confirmation by user.

Market Intermediary Module



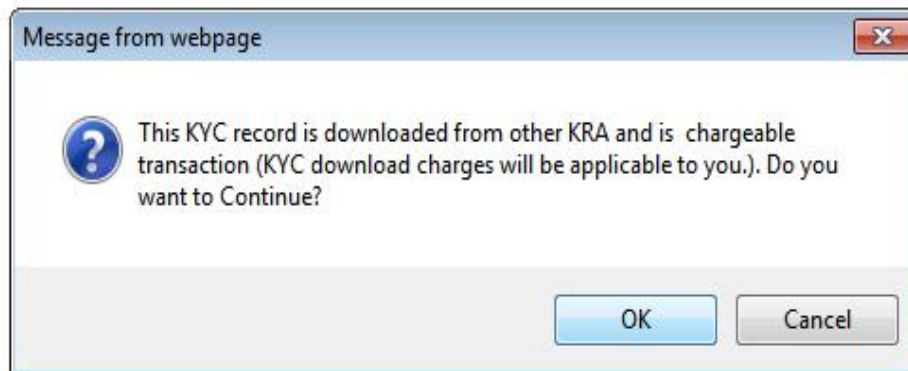
Welcome: ALPESH

Last Login Date: 05-07-2013

MI ID: P0033

MI Name: South Gujarat Shares & Sharebrokers
Limited

Current Date: 05-07-2013



KYC Download

Cont...

User can view the entire KYC application online along with status of the request.

Inquiry on KYC

PAN : ADHPC1901G
NDML KYC Status : **NOT AVAILABLE WITH NDML**

DATA RECEIVED FROM KARVYKRA IS AS BELOW

Instruction Details

Application Request Id :	940340	Order Status :	KYC REGISTERED
Registration Number :		Applicant Type :	INDIVIDUAL
IOP Flag :	II	Application Date :	25/05/2013
Batch Code :	206854	Modification Update Date :	

[Modify KARVY Data](#)

Identity Details

Address Details

Other Details

Documents Status

Identity Details

*Indicates Mandatory Fields

Record Type

Name of the Applicant :

Gender :

Date of Birth :

Date of Incorporation :

PAN Copy Flag :

PAN : ADHPC1901G

PAN Exempt ID Proof : PAN

Internal Reference :

Registration No.(e.g.CIN) :

Residential Status Of Individual : RESIDENT INDIVIDUAL

IPV Flag : YES

Father's/Spouse Name :

Marital Status :

Nationality :

Date of Commencement :

PAN Exempt No. :

Exempt Pan :

DOC Proof : Self Certified Copies Submitted(Originals Verified)

KYC Status Date : 30-05-2013 17:29:37

UID :

Place of Incorporation :

Date of the IPV : 22/05/2013

KYC Download

Cont...

To get the images the user shall click on the OKRA Images download menu.

Home | [Change Password](#) | [Logout](#)

Market Intermediary Module


Welcome: ALPESH Last Login Date: 05-07-2013 MI ID: P0033 MI Name: South Gujarat Shares & Sharebrokers Limited Current Date: 05-07-2013



Market Intermediary

- Online Registration
- File Based Registration
- KYC Status Inquiry
- KYC Download
- Modify Detail
- File Modification
- Auto Download
- Reports
- LCM
- OKRA Images Download



System Security

Cont...

The user can view the KYC download details by querying on PAN and Date of Download. Option has been provided to download the file containing the Demographic details and images.

Market Intermediary Module		Home Change Password Logout
Welcome: ALPESH	Last Login Date: 08-07-2013	MI ID: P0033
		MI Name: South Gujarat Shares & Sharebrokers Limited
		Current Date: 08-07-2013

[Home](#)>Other Image Download

Inquiry on KYC

*Indicates Mandatory Fields.

*PAN :	<input type="text" value="BPZPS5721K"/>
Date From : <input type="text"/> / <input type="text"/> / <input type="text"/>	Date To: <input type="text"/> / <input type="text"/> / <input type="text"/>

PAN	XML File	Images File	PAN Extraction Date
BPZPS5721K	XML DATA File	Images File	2013-06-17 11:23:32.207
BPZPS5721K	XML DATA File	Images File	2013-05-28 14:43:06.19

KYC Modification

The user has to select the Modify button to modify the details

[Home](#)>Inquiry on KYC

Inquiry on KYC

PAN :	ADHPC1901G
NDML KYC Status :	NOT AVAILABLE WITH NDML

DATA RECEIVED FROM KARVYKRA IS AS BELOW

Instruction Details

Application Request Id :	940340	Order Status :	KYC REGISTERED
Registration Number :		Applicant Type :	INDIVIDUAL
IOP Flag :	II	Application Date :	25/05/2013
Batch Code :	206857	Modification Update Date :	

Modify KARVY Data

Identity Details Address Details Other Details Documents Status

Identity Details

*Indicates Mandatory Fields

Record Type

Name of the Applicant :	Father's/Spouse Name :
Gender :	Marital Status :
Date of Birth :	Nationality :
Date of Incorporation :	Date of Commencement :
PAN Copy Flag :	PAN Exempt No. :
PAN : ADHPC1901G	Exempt Pan :
PAN Exempt ID Proof : PAN	DOC Proof : Self Certified Copies Submitted(Originals Verified)
Internal Reference :	KYC Status Date : 30-05-2013 17:29:37
Registration No (e.g. CIN) :	UID :

KYC Modification

Cont...

Click on Ok to process modification

Welcome: ALPLSH Last Login Date: 03-07-2013 MI ID: P0003 Limited Current Date: 03-07-2013

[Home](#)>Inquiry on KYC

Inquiry on KYC

PAN : ADHPC1901G
NDML KYC Status : NOT AVAILABLE WITH NDML

DATA RECEIVED FROM KARVYKRA IS AS BELOW

Instruction Details

Application Request Id : 940340
Registration Number :
IOP Flag : II
Batch Code : 206857

Message from webpage



Do you really want to Add the Detail?

OK

Cancel

KYC REGISTERED
INDIVIDUAL
25/05/2013

Identity Details

Address Details

Other Details

Identity Details

*Indicates Mandatory Fields

Record Type

Name of the Applicant :

Gender :

Date of Birth :

Date of Incorporation :

PAN Copy Flag :

PAN : ADHPC1901G

PAN Exempt ID Proof : PAN

Internal Reference :

Registration No (or CIN) :

Father's/Spouse Name :

Marital Status :

Nationality :

Date of Commencement :

PAN Exempt No. :

Exempt Pan :

DOC Proof :

KYC Status Date :

UID :

Self Certified Copies Submitted(Originals Verified)

30-05-2013 17:29:37

Capture Identity details

Identity Details

*Indicates Mandatory Fields

Record Type	<input type="radio"/> Existing Client <input checked="" type="radio"/> New Client	Father's/Spouse Name :	VALLABHAN RAMASWAMY CHALAKARA
Name of the Applicant :	C V VISALAKSHI	Marital Status :	<input type="radio"/> Single <input checked="" type="radio"/> Married
Gender :	<input type="radio"/> Male <input checked="" type="radio"/> Female	Nationality :	INDIAN
Date of Birth :		Date of Commencement :	01 / 01 / 1900
Date of Incorporation :		PAN Exempt No. :	NA
PAN Copy Flag :		Exempt Pan :	
PAN :	ADHPC1901G	DOC Proof :	Self Certified Copies Submitted(Originals Verified)
PAN Exempt ID Proof :	PAN	KYC Status Date :	30-05-2013 17:29:37
Internal Reference :		UID :	
Registration No. (e.g.CIN) :		Place of Incorporation :	
Residential Status Of Individual :	<input checked="" type="radio"/> Resident Individual <input type="radio"/> Non Resident <input type="radio"/> Foreign National		
	PAN		
Status:	-- Please Select --		
IPV Flag :	<input checked="" type="radio"/> Yes <input type="radio"/> No	Date of the IPV :	22 / 05 / 2013

KYC Modification

Cont...

Capture correspondence address and permanent address details

Correspondence Address

* Indicates Mandatory Fields.

Address Line 1 :

Address Line 2 : PITHANI TOWERS

Address Line 3 :

Pin Code : 530020

City/Town/Village :

Country : INDIA

State :

Proof of Address :

Correspondence
Address
Reference :

631923236458

Correspondence
Address Date :

24 / 01 / 2012

Permanent Address

Address Line 1 :

Address Line
2 : PITHANI TOWERS

Address Line 3 :

Pin Code : 530020

City/Town/Village :

Country : INDIA

State :

Proof of Address :

Permanent
Address
Reference :

631923236458

Permanent
Address Date :

24 / 01 / 2012

Contact Details

Telephone Number(Office) :

Telephone Number
(Residential) :

Mobile :

9652275591

Fax :

KYC Modification

Cont...

Capture other details

Other Details

* Indicates Mandatory Fields

Gross Annual Income :

BETWEEN 1-5 LACS BETWEEN 1-5 LACS ▼

Occupation Detail :

GOVERNMENT

SERVICE GOVERNMENT SERVICE ▼

Net Worth Amount :

100000

Net Worth as on(Date) :

01 / 01 / 1900

Political Connection :

☒ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (PEP)

Any Other Information :

Karta Detail Not Present

Documents Status

*Indicates Mandatory Fields.

Filer 1 :

Filer 2 :

Filer 3 :

Dump Type :

KRA Info :

KARVY

Documents :

Browse...

Error

Description :

Download

Date :

Signature :

Submit

KYC Modification

Cont...

Click on Ok to confirm and submit the request

Other Details

Gross Annual Income :
BETWEEN 1-5 LACS
BETWEEN 1-5 LACS

Occupation Detail :
GOVERNMENT
SERVICE
GOVERNMENT SERVICE

Net Worth Amount :
100000

01 / 01 / 1900

Political Connection :
Any Other Information :

☐ Politically Exposed (PEP)

Karta Detail Not Present

Documents Status

Filer 1 :
Filer 3 :
Dump Type :
KRA Info :
Documents :

KARVY

Browse...

Filer 2 :
Error
Description :
Download
Date :
Signature :

Submit

Message from webpage

 Do you really want Raised Modification to Other KRA?

OK

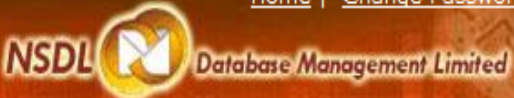
Cancel

KYC Modification

Cont...

User will get error message if any mandatory detail is missing

[Home](#) | [Change Password](#) | [Logout](#)

Market Intermediary Module

Welcome: ALPESH Last Login Date: 05-07-2013 MI ID: P0033 MI Name: South Gujarat Shares & Sharebrokers Limited Current Date: 05-07-2013

[Home](#)>Inquiry on KYC

Inquiry on KYC

PAN :
NDML KYC Status :

ADHPC1901G
NOT AVAILABLE WITH NDML

MODIFICATION RAISED WITH **KARVY** WITH REFERENCE NUMBER AS, **133**

Instruction Details

PAN Number :
ModificationReference
Number :
IOP Flag :
ERROR DESCRIPTION :


ADHPC1901G
133
II
MODIFICATION NOT RAISED WITH KARVY,
MANDATORY FIELD VALIDATION FAILED
FOR ,APP_MAR_STATUS FIELDS

Applicant Name :
Applicant Type :
Application Date :

C V VISALAKSHI
INDIVIDUAL

Back

If all mandatory fields are submitted then system will provide confirmation message

Market Intermediary Module		Home Change Password Logout 	
Welcome: ALPESH	Last Login Date: 04-07-2013	MI ID: P0033	MI Name: South Gujarat Shares & Sharebrokers Limited
		Current Date: 05-07-2013	

[Home](#)>Inquiry on KYC

Inquiry on KYC	
PAN :	BAKPK1871N
NDML KYC Status :	NOT AVAILABLE WITH NDML

MODIFICATION RAISED WITH CAMSKRA WITH REFERENCE NUMBER AS, 130

Instruction Details			
PAN Number :	BAKPK1871N	Applicant Name :	KIRAN KUMARI
ModificationReference Number :	130	Applicant Type :	
IOP Flag :	II	Application Date :	
ERROR DESCRIPTION :			

[Back](#)

Thank You